The Board of Licensure Report May 2022 Kyle Elliott, Executive Director

Board of Licensure Meeting Updates

On April 29, 2022, the Board of Licensure met in person at the Kentucky Engineering Center in Frankfort. The week before, NCEES held a joint Central and Southern Zone in person meeting in Oklahoma City, OK. It is nice to get back to having in person meetings.

License Renewals

After a minor malfunction, emailed renewal notices went out on Wednesday April 13 to all licensed Professional Engineers and/or Professional Land Surveyors whose last name begins with a letter of L - Z. The email notices were emailed from "Kentucky General Government Cabinet kygengov@subscriptions.kentucky.gov". This is a new service we are offering for notifications regarding license and permit renewals and for providing links to the Kentucky Engineer and to The Interior Angle, publications of NSPE-KY and KAPS respectively.

If you are a licensee, and the first letter of your last name is in the range of L - Z, your individual license will expire on June 30, 2022. We will be sending another email notice in the next few days, and paper renewal notices will be mailed out at least thirty days prior to June 30. Renewing early helps the Board reduce costs of the paper renewal notices.

You can renew your license online at the Board's website (<u>https://kyboels.ky.gov/Pages/default.aspx</u>). On that front page, click on "Renewal Information" to renew your license by credit card. The online renewal process is quick and easy, but make sure you pay attention to the questions and answer those questions truthfully and completely.

All licenses renewed after June 30 will be automatically assessed a 10% late renewal fee for each month or part of a month the renewal is late. While KRS 322.160 allows an individual to renew his or her license for up to a year after it expires, that licensee is not allowed to practice engineering or land surveying while the license is expired.

Each licensee is responsible for notifying the board of any address change, and is responsible for renewing his or her license on time.

Calendar of Events 2022

Board Committee Meetings
Board of Licensure Meeting
NCEES Annual Meeting
NCEES Exam Administration (Structural)
Board Committee Meetings

Frankfort Frankfort Carlsbad, CA Regional locations only Frankfort

Frankfort

ENFORCEMENT REPORT For The Period January 1, 2022 – March 31, 2022

UNLICENSED PRACTICE

WILLIAM A. GRISWOLD, JR.

William A. Griswold, Jr. was licensed as a Kentucky Professional Engineer (PE), #22369, on January 29, 2002, but his license expired on June 30, 2015, and has not been renewed since that time. In July 2021, the Board of Licensure was notified that Griswold had provided engineering services for two Kentucky projects. One project, dated March 5, 2021, was prepared under the title of a business entity, Griswold Tower Software, and the other project, dated June 24, 2021, was prepared under the title of a business entity, Global Tech Solutions. Neither Griswold Tower Software nor Global Tech Solutions has ever held a business entity permit to provide engineering services in Kentucky. The deliverables for both of the projects were signed by Griswold and stamped with his expired Kentucky PE license. To resolve the Board's investigation, Griswold entered into an Agreed Order of Settlement and Injunction with the Board in which he is enjoined from offering or engaging in the practice of engineering in Kentucky, until and unless he becomes licensed by the Board as a Professional Engineer. Furthermore, he is enjoined from offering or engaging in the practice of engineering in Kentucky by or through any business entity or advertising that any such business entity is authorized to offer engineering services in Kentucky, unless or until that business entity obtains a valid business entity permit from the Board. Future violations may be subject to fines and/or periods of incarceration as provided in KRS 322.990. The Agreed Injunction was entered by Franklin Circuit Court on March 25, 2022.

DISCIPLINARY ACTIONS

LUCAS HATFIELD, JR., PE #8984 (EXPIRED), PLS #1924

In December 2017 and November 2019, the Board of Licensure received two separate written complaints against Lucas Hatfield, Jr., PE (expired), PLS. The Board investigated those complaints and found that the plats of survey that were the subject of those complaints had multiple technical violations of the Standards of Practice, 201 KAR 18:150. The investigation was expanded to include a review of five additional plats of survey, and those plats also contained numerous, and similar, violations of the Standards of Practice. In addition, a plat of survey prepared for the original complainant was certified by Hatfield during a time when his

Professional Land Surveyor's (PLS) license was expired, in violation of KRS 322.020 and KRS 322.180 (15). The investigation also revealed that Hatfield was providing land surveying services through a business, Luke Hatfield Engineering Company, without a current business entity permit, a violation of KRS 322.060. The engineering and land surveying business entity permits for the company expired on December 31, 2013 and have not been renewed since that time. Hatfield entered into a Consent Decree with the Board wherein he acknowledged the violations and agreed to: (1) not perform engineering and land surveying services on behalf of Luke Hatfield Engineering Company, unless and until he is appropriately licensed individually, and the company holds the required business entity permit from the Board; (2) pay an administrative fine of \$2,500; (3) complete the online 60-hour Texas Tech engineering ethics course within a year; and (4) have his Professional Land Surveyor's license placed on probation for two years with quarterly project reviews. The Board of Licensure approved this Consent Decree on April 29, 2022.

WSP USA, INC.

Parsons, Brinckerhoff, Quade & Douglas, predecessor to WSP USA, Inc., was issued a Kentucky engineering business entity permit, #41, on March 2, 1993, and a Kentucky land surveying business entity permit, #393, on November 6, 2001. The company has operated as WSP USA, Inc. since July 27, 2017. Frank S. Slade, PE #15049, PLS #3042, who had been the only designated Professional Engineer (PE) and Professional Land Surveyor (PLS) in responsible charge on the company's engineering and land surveying permits since 2011, unexpectedly passed away on August 11, 2021. The company failed to notify the Board of Licensure of the death of Slade, as required by KRS 322.060, and wrongfully renewed the company's engineering permit and land surveying permit twice, on October 31, 2021, and November 16, 2021, using Slade's PE and PLS license numbers as the designated responsible charge engineer and land surveyor. After the Board discovered that the company does not currently employ a Kentucky licensed land surveyor, the company's land surveying permit, #393, was made inactive. Another employee of the company was designated as the responsible charge engineer for the company's engineering permit, #41, after the investigation was initiated. Additionally, the investigation revealed that the Kentucky branch office had moved in 2011, but the company never notified the Board of the change in location of the branch office, in violation of KRS 322.060. WSP USA, Inc., through its President, Bernard P. McNeilly, acknowledged the violations and agreed to: (1) pay an administrative fine of \$2,000; (2) receive a Letter of Reprimand; (3) maintain a current and valid Kentucky engineering business entity permit during any time in which it is engaged in providing engineering services in Kentucky; (4) maintain a current and valid Kentucky land surveying business entity permit during any time in which it is engaged in providing land surveying services in Kentucky; and (5) inform the Board, in writing, within 14 calendar days, of any change in the status of the professional engineer(s) and land surveyor(s) designated by the company as being in responsible charge of the company's

engineering and land surveying services in Kentucky. The Board of Licensure approved this Consent Decree on April 29, 2022.

NON-DISCIPLINARY ACTIONS

Cases Closed with a Written Admonishment – 9

PE - admonishments for CPD violations – 4 (late renewal audits)

PLS - admonishments for CPD violations – 4 (2 late renewal audits, 2 self-reported)

PE - admonishment for other violations - 1

Cases Closed with No Action – 3

PE - opted to place license in "inactive" status in lieu of complying with a CPD audit - 1

PE - passed CPD audit – 1 (late renewal audit)

PLS – allegations not substantiated - 1